



#3

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
John M. Bailey) Group Art Unit: 3748
Application No.: 10/509,788) Examiner: Unknown
International Application No.: PCT/US02/40108)
I.A. Filing Date: 12/16/2002)
Priority Date: 04/12/2002)
For: *Apparatus and Method for Filtering*) Peoria, IL 61602-1241
Particulate and Reducing NOx Emissions)
Attorney Docket No.: 60096-011) May 19, 2005

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SUBMISSION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE
UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Sir:

In response to the enclosed Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated Office, dated April 11, 2005, enclosed is the Declaration executed in compliance with 37 CFR § 1.497(a) and (b). The person signing the declaration is the Executrix of the estate of Donald J. Waldman who is the second inventor.

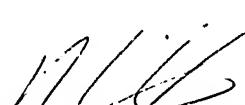
A check in the amount of \$65.00 is enclosed to cover the missing parts surcharge.
Please charge any underpayment or credit any overpayment to Deposit Account No. 08-3460.

Respectfully submitted,

05/02/2005 CRAJ0200 00000005 10305760

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\$6.00 CP



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Repla. Ref: 09/12/2005 SAHMED1 0012125000
DAB:083460 Date/Number:10509788
FCS: 9204 \$65.00 CR

Adjustment date: 08/02/2005 SHARDIMAR TRANSMIT 0180803.01
00000005 10509788.00 CP

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|--|---------------------------|-------------------------|
| EXPRESS MAIL CERTIFICATE OF MAILING (37 CFR 1.10) | | Docket No. 60096-011 |
| Express Mail No.: EV 630758192 US | | |
| Applicant(s): John M Bailey | | |
|  MAY 26 2005 U.S. PATENT & TRADEMARK OFFICE | | |
| Serial No. 10/509,788 | Filing Date 12/16/2002 | Examiner |
| Group Art 3748 | | |
| Title: APPARATUS AND METHOD FOR FILTERING PARTICULATE AND REDUCING NOX EMISSIONS | | |

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Transmitted herewith is:

Submission of Missing Requirements under 35 USC 371 in the DO/EO/US
 Copy of Notification of Missing Requirements
 Declaration of Inventors
 Check in the amount of \$65.00
 Postcard Receipt



Signature

Dated:

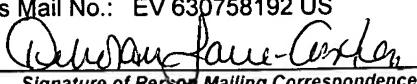
May 26, 2005

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Customer No. 029493

I certify that the document and fee is being deposited on 5-26-05 with the U.S. Postal Service as Express Mail under 37 C.F.R. 1.10 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail No.: EV 630758192 US


Signature of Person Mailing Correspondence

Deborah Lane-Christian

Typed or Printed Name of Person Mailing Correspondence



95-27-05

Page 1 of 2

PCTS

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|-----------------------------|-----------------------|------------------|
| 10/509,788 | John M Bailey | 60096-011 |

29493
HUSCH & EPPENBERGER, LLC
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RECEIVED

APR 18 2005

Husch & Eppenberger LLC

INTERNATIONAL APPLICATION NO.

PCT/US02/40108

| I.A. FILING DATE | PRIORITY DATE |
|------------------|---------------|
| 12/16/2002 | 04/12/2002 |

CONFIRMATION NO. 7698

371 FORMALITIES LETTER

OC000000015686684

OC000000015686684

Date Mailed: 04/11/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/30/2004
- Copy of the International Search Report filed on 09/30/2004
- Copy of IPE Report filed on 09/30/2004
- Preliminary Amendments filed on 09/30/2004
- Oath or Declaration filed on 09/30/2004
- Request for Immediate Examination filed on 09/30/2004
- U.S. Basic National Fees filed on 09/30/2004
- Assignment filed on 09/30/2004
- Priority Documents filed on 09/30/2004

Action: file response
DOCKETED/H&E
Due Date: 05/11/05
By: deb 4/19/05
2d check: _____

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - Signature of the second inventor Mr. Donald H Conner is missing from the declaration.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/509,788 | PCT/US02/40108 | 60096-011 |

FORM PCT/DO/EO/905 (371 Formalities Notice)